



# Equations of families of Complex Algebraic Surfaces with $p_g = q = 2$ (Joint work with Fabrizio Catanese)

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UNIVERSITÄT BAYREUTH

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with  
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**Ground field:** the field  $\mathbb{C}$  of complex numbers.

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**Ground field:** the field  $\mathbb{C}$  of complex numbers.

**Main objects:** complex manifolds

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**Ground field:** the field  $\mathbb{C}$  of complex numbers.

**Main objects:** complex manifolds

What is a complex manifold?

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**Ground field:** the field  $\mathbb{C}$  of complex numbers.

**Main objects:** complex manifolds

What is a complex manifold?

Roughly speaking, a generalization of a Riemann Surface.

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# Riemann Surface: Definition



Let  $X$  be a Hausdorff topological space such that every point  $x \in X$  has an open neighborhood which is homeomorphic to an open subset of  $\mathbb{R}^2 = \mathbb{C}$ .

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## Definition (Complex chart)

A *complex chart* on  $X$  is a homeomorphism  $\varphi : U \rightarrow V$  of an open subset  $U \subset X$  onto an open subset  $V \subset \mathbb{C} = \mathbb{R}^2$ .

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Two complex charts  $\varphi_i : U_i \rightarrow V_i$ ,  $i = 1, 2$  are said to be *holomorphically compatible* if the map

$$\varphi_2 \circ \varphi_1^{-1} : \varphi_1(U_1 \cap U_2) \rightarrow \varphi_2(U_1 \cap U_2)$$

is biholomorphic.

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# Riemann Surface: Definition

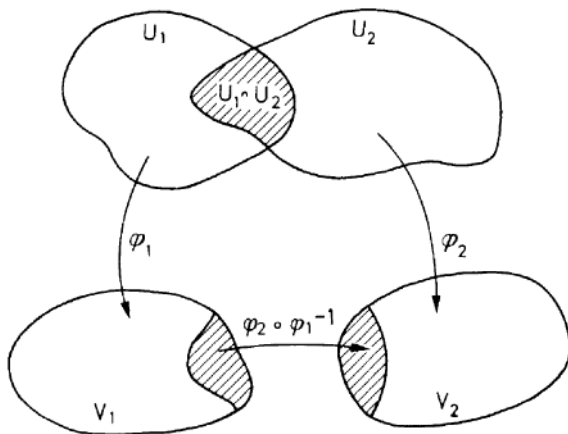


Figure: Upstairs open sets in  $X$ . Downstairs open sets in  $\mathbb{C}$

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# Riemann Surface: Formal Definition



A *complex atlas* on  $X$  is a collection

$$\mathcal{U} := \{\varphi_i : U_i \rightarrow V_i \mid i \in I\}$$

of charts which are holomorphically compatible and which cover  $X$ , i.e.,

$$\bigcup_{i \in I} U_i = X$$

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Two complex atlases  $\mathcal{U}$  and  $\mathcal{U}'$  on  $X$  are called *analytically equivalent* if every chart of  $\mathcal{U}$  is holomorphically compatible with every chart of  $\mathcal{U}'$ .

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## Definition

By a *complex structure* on  $X$  we mean an equivalence class  $[\mathcal{U}]$  of analytically equivalent atlases on  $X$ .

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## Definition

A Riemann Surface is a pair  $(X, [\mathcal{U}])$ , where  $X$  is as above and  $[\mathcal{U}]$  is a complex structure on  $X$ .

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# Riemann Surface: Definition

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A Riemann Surface is a pair  $(X, [\mathcal{U}])$ , where  $X$  is as above and  $[\mathcal{U}]$  is a complex structure on  $X$ .

## Example

- The complex plane  $\mathbb{C}$ : canonical atlas  $\mathcal{U} = \{id: \mathbb{C} \rightarrow \mathbb{C}\}$
- The Riemann Sphere  $\mathbb{P}_{\mathbb{C}}^1 := \mathbb{C} \cup \infty$
- Complex Tori

$$T = \mathbb{C}/\Lambda, \quad \Lambda \text{ lattice in } \mathbb{R}^2 = \mathbb{C}$$

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# The genus of a Compact Riemann Surfaces



From now on, we assume a Riemann Surface to be **compact**.

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Topologically, a Compact Riemann Surface  $X$  is a compact topological surface.

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# The genus of a Compact Riemann Surfaces



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Hence, we define the genus of  $X$  as follows

$$g(X) := \text{number of holes of } X$$

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- $g = 0$ :  $X \cong \mathbb{P}_{\mathbb{C}}^1$  (Riemann Sphere)

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- $g = 1$ :  $X \cong \mathbb{C}/\Lambda \cong S^1 \times S^1$  (Complex Torus)

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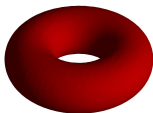
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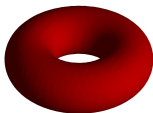
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- $g = 1$ :  $X \cong \mathbb{C}/\Lambda \cong S^1 \times S^1$  (Complex Torus)



- $g \geq 2$ : Most Riemann Surfaces

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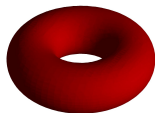
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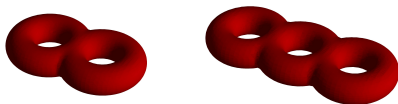
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- $g \geq 2$ : Most Riemann Surfaces



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# Complex Tori: Construction



Suppose  $\omega_1, \omega_2 \in \mathbb{C}$  are linearly independent over  $\mathbb{R}$ .

$$\Lambda := \mathbb{Z}\omega_1 + \mathbb{Z}\omega_2 = \{n\omega_1 + m\omega_2 : n, m \in \mathbb{Z}\}$$

$\Lambda$  is called the lattice spanned by  $\omega_1$  and  $\omega_2$

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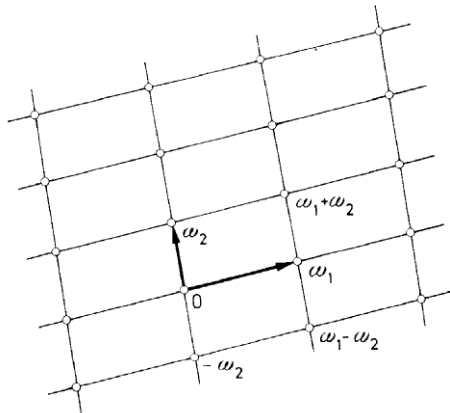


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$$z \sim z' \pmod{\Lambda} \iff z - z' \in \Lambda$$

The set of all equivalence classes is denoted by  $T := \mathbb{C}/\Lambda$ .



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The set of all equivalence classes is denoted by  $T := \mathbb{C}/\Lambda$ .

By using the canonical projection

$$p: \mathbb{C} \rightarrow T$$

we can endow  $T$  with a complex structure in a natural way.



## Question

When are two tori

$$T = \mathbb{C}/\Lambda, \quad T' = \mathbb{C}/\Lambda'$$

isomorphic to each other?



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## Question

Is there a way to parametrize the family of all complex tori (up to isomorphism)?



## Fact

Every torus  $T = \mathbb{C}/\Lambda$  is isomorphic to a torus of the form

$$T(\tau) := \mathbb{C}/(\mathbb{Z} + \mathbb{Z}\tau), \quad \text{Im}(\tau) > 0$$

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## Fact

Suppose  $\begin{pmatrix} a & b \\ c & d \end{pmatrix} \in SL(2, \mathbb{Z})$  and  $\text{Im}(\tau) > 0$ . Let

$$\tau' := \frac{a\tau + b}{c\tau + d}$$

Then, the tori  $T(\tau)$  and  $T(\tau')$  are isomorphic. Moreover, the converse holds.

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# The Moduli Space of Complex Tori



The space parametrizing the isomorphism classes of complex tori is then

$$\mathfrak{H} := \mathbb{H}/SL(2, \mathbb{Z}),$$

where

$$\mathbb{H} := \{z \in \mathbb{C} \mid \text{Im}(z) > 0\}$$

is called the *Siegel Upper Half Plane*

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$\mathfrak{H}$  is called the *moduli space of complex tori*.

## Remark

Classically, this is the very first example of a *moduli space*.

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# The Moduli Space of Complex Tori

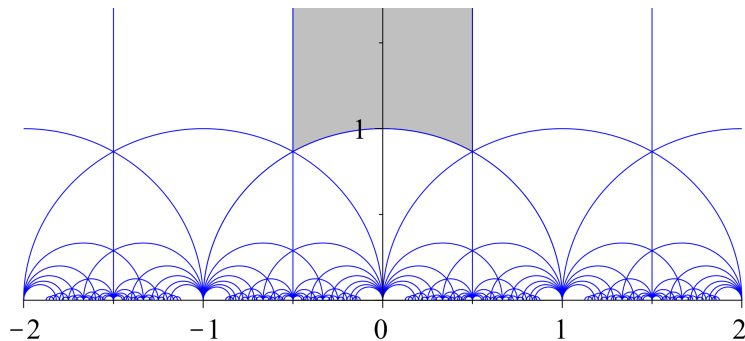


Figure: **Fundamental domain of  $\mathfrak{H}$** , given by the grey part together with the boundary on the left plus half the arc on the bottom (including the point in the middle).

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# Complex Manifolds: Definition



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# Complex Manifolds: Definition

Let  $X$  be a Hausdorff topological space which locally "looks like"  $\mathbb{R}^{2n} = \mathbb{C}^n$ , i.e. every point  $x \in X$  has an open neighborhood which is homeomorphic to an open subset of  $\mathbb{R}^{2n} = \mathbb{C}^n$ .



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Generalizing the concept of holomorphicity to functions

$$f = f(z_1, \dots, z_n): U \subset \mathbb{C}^n \rightarrow \mathbb{C},$$

we can define complex charts, atlases and complex structures in the same way as we did for Riemann Surfaces.

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## Definition (Complex Manifold)

A complex manifold is a pair  $(X, [\mathcal{U}])$ , where  $X$  is as above and  $[\mathcal{U}]$  is a given complex structure on  $X$ .

We say that  $n$  is the (*complex*) *dimension* of  $X$ .

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## Remark

Riemann Surfaces are complex manifolds of dimension 1.

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# Complex Manifolds: some remarks



## Remark

Riemann Surfaces are complex manifolds of dimension 1.

## Remark

Complex Algebraic Geometers usually study just those **compact** manifolds  $X$  admitting a closed embedding

$$\psi: X \hookrightarrow \mathbb{P}_{\mathbb{C}}^N, \quad \text{for some } N.$$

They are also called *projective manifolds*:

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They are also called *projective manifolds*: **global algebraic equations** (Chow's Theorem)

## Remark

Even if one knows that  $X$  is projective, it is not easy in general to find such global equations.

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## Definition (Surface)

A (complex algebraic) surface  $S$  is a projective manifold of complex dimension 2.

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A (complex algebraic) surface  $S$  is a projective manifold of complex dimension 2.

## Example

- The projective plane  $\mathbb{P}_{\mathbb{C}}^2$ .
- Abelian surfaces (analogous to complex tori)

$$A = \mathbb{C}^2 / \Lambda, \quad \Lambda \text{ lattice in } \mathbb{R}^4 = \mathbb{C}^2$$

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## Definition

A surface  $S$  is said to be *minimal* if it does not contain any  $(-1)$  curve, i.e. submanifold  $C$  of dimension 1 such that  $C \cong \mathbb{P}^1$ ,  $C^2 = -1$ .

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# Numerical Invariants



For a Compact Riemann Surface  $X$  one numerical invariant is sufficient: the genus  $g(X)$ .

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Let  $\Omega_S^1$  be the sheaf of holomorphic 1-differential forms on  $S$  and set

$$\omega_S := \bigwedge^2 \Omega_S^1 = \det(\Omega_S^1).$$

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Define

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$$q := q(S) := h^0(S, \Omega_S^1) = \dim_{\mathbb{C}} H^0(S, \Omega_S^1),$$

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- the self-intersection of the canonical divisor  $K_S^2$

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To every surface  $S$  we attach a further invariant  $\kappa(S)$  called *Kodaira dimension*.

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$$\kappa(S) = -\infty, 0, 1, 2$$

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The idea is that a random surface  $S$  has maximal Kodaira dimension  $\kappa(S) = 2$ .

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## Definition

A surface  $S$  is said to be of *general type* if  $\kappa(S) = 2$ .

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# $p_g = q = 2$ : Notation and set-up



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# $p_g = q = 2$ : Notation and set-up

$S$  will denote a minimal surface of general type with  $p_g = q = 2$



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## $p_g = q = 2$ : Notation and set-up

$S$  will denote a minimal surface of general type with  $p_g = q = 2$

For such a surface  $S$  there exist an Abelian surface  $A$  together with a morphism

$$\alpha : S \rightarrow A$$

fulfilling a certain universal property.

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$p_g = q = 2$ : many contributions by several authors, but still widely open

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# Description of results from a joint work with Fabrizio Catanese



# $p_g = q = 2$ : CHPP surfaces



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$p_g = q = 2$ : CHPP surfaces

CHPP surfaces:  $p_g(S) = q(S) = 2$ ,



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$p_g = q = 2$ : CHPP surfaces

CHPP surfaces:  $p_g(S) = q(S) = 2$ ,  $K_S^2 = 5$ ,



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$p_g = q = 2$ : CHPP surfaces



CHPP surfaces:  $p_g(S) = q(S) = 2$ ,  $K_S^2 = 5$ ,  $d = 3$

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# $p_g = q = 2$ : CHPP surfaces

**CHPP surfaces:**  $p_g(S) = q(S) = 2$ ,  $K_S^2 = 5$ ,  $d = 3$

Named after Chen-Hacon-Penegini-Polizzi (known family)

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$S$  is a free quotient

$$S := S'/G, \quad G \cong (\mathbb{Z}/2\mathbb{Z})^2,$$

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- $\{y_1, y_2\}$  homogeneous coordinates of  $\mathbb{P}^1 = \mathbb{P}(V)$ ,

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- $\{x_1, x_2\}$  is a canonical basis of  $V = H^0(A', \mathcal{O}_{A'}(D))$ ,
- $\{y_1, y_2\}$  homogeneous coordinates of  $\mathbb{P}^1 = \mathbb{P}(V)$ ,
- $\lambda \in \mathbb{C}$ .



## Theorem (-, Catanese)

*The CHPP surfaces form an irreducible connected component of the moduli space of surfaces of general type with  $K_S^2 = 5$ ,  $p_g(S) = q(S) = 2$ , and Albanese map  $\alpha : S \rightarrow A = \text{Alb}(S)$  of degree  $d = 3$ .*

*It coincides with the component found by Penegini-Polizzi in 2013 and contains the so-called Chen-Hacon surfaces.*

$p_g = q = 2$ : *PP4 surfaces*



PP4 surfaces:

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$p_g = q = 2$ : *PP4 surfaces*

**PP4 surfaces:**  $p_g(S) = q(S) = 2$ ,



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$p_g = q = 2$ : *PP4 surfaces*

**PP4 surfaces:**  $p_g(S) = q(S) = 2$ ,  $K_S^2 = 6$ ,



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$p_g = q = 2$ : *PP4 surfaces*

**PP4 surfaces:**  $p_g(S) = q(S) = 2$ ,  $K_S^2 = 6$ ,  $d = 4$



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# $p_g = q = 2$ : PP4 surfaces

**PP4 surfaces:**  $p_g(S) = q(S) = 2$ ,  $K_S^2 = 6$ ,  $d = 4$   
Named after Penegini-Polizzi (known family)



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## $p_g = q = 2$ : PP4 surfaces

**PP4 surfaces:**  $p_g(S) = q(S) = 2$ ,  $K_S^2 = 6$ ,  $d = 4$

Named after Penegini-Polizzi (known family)

$S$  is a free quotient

$$S := S'/G, \quad G \cong (\mathbb{Z}/3\mathbb{Z})^2,$$

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$$S' := S'(\mu) := \{\text{rank}(M) \leq 1\} \subset \mathbb{P}^2 \times A',$$

$$M = \begin{pmatrix} x_1 & & x_3 & & x_2 \\ y_1^2 + \mu y_2 y_3 & & y_3^2 + \mu y_1 y_2 & & y_2^2 + \mu y_1 y_3 \end{pmatrix}$$

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- $A'$  is an Abelian surface with a polarization  $D$  of type  $(1, 3)$ ,
- $\{x_1, x_2, x_3\}$  is a canonical basis of  $V = H^0(A', \mathcal{O}_{A'}(D))$ ,

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- $\{y_1, y_2, y_3\}$  homogeneous coordinates of  $\mathbb{P}^2 = \mathbb{P}(V)$ ,
- $\mu \in \mathbb{C}$ .





## Theorem (-, Catanese)

*The family of PP4 surfaces provides an irreducible, **connected** component of the moduli space of surfaces of general type with  $p_g = q = 2$ ,  $K^2 = 6$  and Albanese map of degree 4.*

*It coincides with the component found by Penegini-Polizzi in 2014.*

# $p_g = q = 2$ : the new family of AC3 surfaces



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# $p_g = q = 2$ : the new family of AC3 surfaces

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# $p_g = q = 2$ : the new family of AC3 surfaces

AC3 surfaces:  $p_g(S) = q(S) = 2$ ,  $K_S^2 = 6$ ,  $d = 3$



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# $p_g = q = 2$ : the new family of AC3 surfaces

AC3 surfaces:  $p_g(S) = q(S) = 2$ ,  $K_S^2 = 6$ ,  $d = 3$

It is a new family with these invariants.

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## $p_g = q = 2$ : the new family of AC3 surfaces

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## $p_g = q = 2$ : the new family of AC3 surfaces

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## Theorem (Catanese, Sernesi)

*The family of AC3 surfaces provides a new component of the moduli space of surfaces of general type with  $p_g = q = 2$ ,  $K^2 = 6$  and Albanese map of degree 3.*

# $p_g = q = 2$ : our construction method



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# $p_g = q = 2$ : our construction method



Let  $A'$  be an Abelian surface with a divisor  $D$  yielding a polarization of type  $(\delta_1, \delta_2)$  (hence with Pfaffian  $\delta = \delta_1\delta_2$ ).

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# $p_g = q = 2$ : our construction method

Let  $A'$  be an Abelian surface with a divisor  $D$  yielding a polarization of type  $(\delta_1, \delta_2)$  (hence with Pfaffian  $\delta = \delta_1\delta_2$ ).  
Then,

$$V := H^0(A', \mathcal{O}_{A'}(D))$$

is a  $\delta$ -dimensional vector space.

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Then,

$$V := H^0(A', \mathcal{O}_{A'}(D))$$

is a  $\delta$ -dimensional vector space.

Consider the group of translations

$$G = \ker \Phi_D$$

leaving the isomorphism class of  $\mathcal{O}_{A'}(D)$  invariant.

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## $p_g = q = 2$ : our construction method

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is a  $\delta$ -dimensional vector space.  
Consider the group of translations

$$G = \ker \Phi_D$$

leaving the isomorphism class of  $\mathcal{O}_{A'}(D)$  invariant.  
This is the kernel of the isogeny

$$\Phi_D : A' \rightarrow A'^{\vee} := A$$

$$x \mapsto t_x^* D - D$$

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# $p_g = q = 2$ : our construction method



Setting

$$H_D := (\mathbb{Z}/\delta_1) \times (\mathbb{Z}/\delta_2,)$$

we have that

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# $p_g = q = 2$ : our construction method



Setting

$$H_D := (\mathbb{Z}/\delta_1) \times (\mathbb{Z}/\delta_2,)$$

we have that

- $G \cong H_D^2$

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# $p_g = q = 2$ : our construction method



## Setting

$$H_D := (\mathbb{Z}/\delta_1) \times (\mathbb{Z}/\delta_2,)$$

we have that

- $G \cong H_D^2$
- $V$  is an irreducible representation of a finite *Heisenberg group*  $\mathcal{H}_D := \text{Heis}(H_D)$ , called the *Schrödinger representation*.

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# $\rho_g = q = 2$ : our construction method



Setting

$$H_D := (\mathbb{Z}/\delta_1) \times (\mathbb{Z}/\delta_2,)$$

we have that

- $G \cong H_D^2$
- $V$  is an irreducible representation of a finite *Heisenberg group*  $\mathcal{H}_D := \text{Heis}(H_D)$ , called the *Schrödinger representation*.

Moreover:

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# $p_g = q = 2$ : our construction method

## Setting

$$H_D := (\mathbb{Z}/\delta_1) \times (\mathbb{Z}/\delta_2,)$$

we have that

- $G \cong H_D^2$
- $V$  is an irreducible representation of a finite *Heisenberg group*  $\mathcal{H}_D := \text{Heis}(H_D)$ , called the *Schrödinger representation*.

Moreover:

- the centre  $\mathcal{C}$  of  $\mathcal{H}_D$  acts by scalar multiplication;

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# $\rho_g = q = 2$ : our construction method



## Setting

$$H_D := (\mathbb{Z}/\delta_1) \times (\mathbb{Z}/\delta_2,)$$

we have that

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- $V$  is an irreducible representation of a finite *Heisenberg group*  $\mathcal{H}_D := \text{Heis}(H_D)$ , called the *Schrödinger representation*.

Moreover:

- the centre  $\mathcal{C}$  of  $\mathcal{H}_D$  acts by scalar multiplication;
- $\mathcal{H}_D/\mathcal{C} \cong G$ .



# $p_g = q = 2$ : our construction method

## Setting

$$H_D := (\mathbb{Z}/\delta_1) \times (\mathbb{Z}/\delta_2,)$$

we have that

- $G \cong H_D^2$
- $V$  is an irreducible representation of a finite *Heisenberg group*  $\mathcal{H}_D := \text{Heis}(H_D)$ , called the *Schrödinger representation*.

Moreover:

- the centre  $\mathcal{C}$  of  $\mathcal{H}_D$  acts by scalar multiplication;
- $\mathcal{H}_D/\mathcal{C} \cong G$ .

Therefore,  $\mathbb{P}(V)$  is a projective representation of  $G$ .

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# $p_g = q = 2$ : our construction method



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Our method consists in describing a surface

$$S' \subset \mathbb{P}^{\delta-1} \times A' = \mathbb{P}(V) \times A',$$

which is  $G$ -invariant for the  $G$ -action of product type on  $\mathbb{P}(V) \times A'$ .

# $p_g = q = 2$ : our construction method



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with

$p_g = q = 2$

Massimiliano  
Alessandro

Introduction

Riemann  
Surfaces

Genus

Complex Tori

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Manifolds

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$p_g = q = 2$

CHPP

PP4

AC3

Construction  
Method

Our method consists in describing a surface

$$S' \subset \mathbb{P}^{\delta-1} \times A' = \mathbb{P}(V) \times A',$$

which is  $G$ -invariant for the  $G$ -action of product type on  $\mathbb{P}(V) \times A'$ .

Finally, we obtain  $S$  as the free quotient  $S := S'/G$ .



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Thanks for listening!